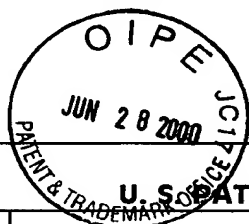


PTO-1449  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	ATTY. DOCKET NO. <b>10392-46801</b>	SERIAL NO.: <b>09/516,787</b>
	APPLICANT <b>Steven M.H. WALLMAN</b>	
	FILING DATE <b>1 March 2000</b>	GROUP <b>288</b>



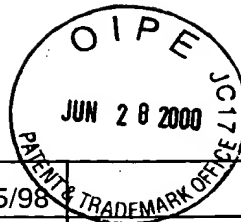
**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS/SUBCLASS
<i>A</i>	4,346,442	08/24/82	Musmanno	364/408
<i> </i>	4,376,978	03/15/83	Musmanno	364/408
<i> </i>	4,566,066	01/21/86	Towers	364/408
<i> </i>	4,597,046	06/24/86	Musmanno et al.	364/408
<i> </i>	4,642,768	02/10/87	Roberts	364/408
<i> </i>	4,648,038	03/03/87	Roberts et al.	364/408
<i> </i>	4,674,044	06/16/87	Kalmus et al.	364/408
<i> </i>	4,750,121	06/07/88	Halley et al.	364/408
<i> </i>	4,751,640	06/14/88	Lucas et al.	364/408
<i> </i>	4,774,663	09/27/88	Musmanno et al.	364/408
<i> </i>	4,910,676	03/20/90	Aldredge	364/408
<i> </i>	4,933,842	06/12/90	Durbin et al.	364/408
<i> </i>	4,953,085	08/28/90	Atkins	364/408
<i> </i>	4,980,826	12/25/90	Wagner	364/408
<i> </i>	4,989,141	01/29/91	Lyons et al.	364/408
<i> </i>	4,994,964	02/19/91	Wolfberg et al.	364/408
<i> </i>	5,038,284	08/06/91	Kramer	364/408
<i> </i>	5,101,353	03/31/92	Lupien et al.	364/408
<i> </i>	5,126,936	06/30/92	Champion et al.	364/408
<i>↓</i>	5,132,899	07/21/92	Fox	364/408
<i>GA</i>	5,148,365	09/15/92	Dembo	364/402

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A	5,193,056	03/09/93	Boes	364/408
	5,202,827	04/13/93	Sober	364/408
	5,210,687	05/11/93	Wolfberg et al.	364/408
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✓	5,784,696	07/21/98	Meinikoff	705/36
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<b>A</b>	5,799,287	08/25/98	Dembo	705/36
<b>↓</b>	5,806,047	09/08/98	Hackel et al.	705/36
	5,806,049	09/098/98	Petruzzi	705/36
	5,812,987	09/22/98	Luskin et al.	705/36
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	5,930,774	07/27/99	Chennault	705/36
<b>↓</b>	5,946,666	08/31/99	Nevo et al.	705/36
<b>CA</b>	5,978,778	11/02/99	O'Shaughnessy	705/36

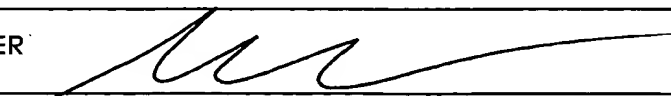
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 GROUP 2700

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<b>A</b>	WO 98/44443	10/08/98	EPO				X
<b>A</b>	WO 98/44444	10/08/98	EPO				X
<b>A</b>	WO 99/28845	06/10/99	EPO				X

### OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
<b>A</b>	Robert Barker, A Capital-Gains Miracle Worker, BUSINESS WEEK, January 31, 2000, p.130.
<b>A</b>	Anne Tergesen, Here Come the E-Funds, BUSINESS WEEK, January 31, 2000, p. 125.
<b>A</b>	1998 ADP Investor Communications Services, ProxyEdge™ 2000.

EXAMINER 	DATE CONSIDERED <b>8/19/03</b>
EXAMINER: initial if citation is considered, whether or not citation is in conformance with M.P.E.P. 609; strike out citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	